SOUTH CENTRAL WORKFORCE BOARD OF DIRECTORS
WIOA FRAUD AND ABUSE AWARENESS

The Workforce Innovation and Opportunities Act of 2014, as amended (Pub. L. 113-128), TEGL 2-12, 20 CFR 683.430, and 20 CFR 683.620 establish requirements for reporting alleged, suspected, or known fraud, program abuse, and criminal misconduct. TEGL 2-12 provides detailed information on the responsibilities of the local grant recipient and must be consulted should any Board member, SCW staff, or subrecipient become aware of any actual or suspected program abuse, fraud, or criminal activity. In general, should this occur, the individual shall:

1. Report the incident to the EO Officer as designated by the CEO.

2. SCW EO Officer shall immediately notify SCW's CEO and initiate the Incident Report (IR) form, Office of Inspector General (OIG) 1-156. The 1-156 shall be used for any incident that alleges criminal and other illegal or improper activities in ETA-funded programs. This shall include allegations of misfeasance, nonfeasance, or malfeasance; allegations involving misapplication of funds; allegations of gross mismanagement; allegations of employee/participant misconduct; and other potential or suspected criminal actions.

3. Board members, SCW staff, and subrecipients are responsible for immediately notifying the EO Officer of allegations, suspicions, and complaints involving possible fraud, program abuse, and criminal misconduct. In addition, situations involving imminent health or safety concerns, or the imminent loss of funds exceeding an amount larger than $50,000, are considered emergencies and must immediately be reported to the OIG and OFAM by telephone and followed up with a written report in the form of an IR, no later than one working day after the telephone report.

4. No Board member, SCW staff, or subrecipient shall take action against any complainant for disclosing information concerning criminal or improper activities or making a valid complaint to proper authorities.

5. Complainants may remain anonymous. If a complainant considers that his/her position will be compromised by reporting information through the IR system, he/she may send the report directly to the OIG or OFAM.

6. Board members, SCW staff, and subrecipients must be familiar with and comply with the content of TEGL 2-12.

I have read and understood the above Incident Reporting Fraud, Program Abuse, and Criminal Conduct, and I agree to comply with this policy.

Signature Printed Name Date