

# DISLOCATED WORKER ELIGIBILITY

Policy Number: 203 B, Revision 8

Effective Date: July 1, 2019 Date Last Revised: July 1, 2024

# I. PURPOSE:

The purpose of this policy is to establish how the Workforce Innovation and Opportunity Act (WIOA) provides guidance relative to eligibility determination for Dislocated Workers for the U.S. Department of Labor (DOL) programs under management of the South Central Workforce (SCW). Individuals registered as Dislocated Workers must meet the eligibility requirements outlined in this bulletin. Local Workforce Development Boards (LWDBs) are directed to use the Self-Employment Training (SET) targets in WorkSource Information Notice (WIN) 0042, Change 11.

# II. ELIGIBILITY CRITERIA:

In accordance with WIOA, an eligible Dislocated Worker is defined as an individual who at the time of application:

- 1. Is a United States citizen or otherwise legally entitled to work in the U.S.; and
- 2. For males born on or after January 1, 1960, registered for Selective Service (TEGL 11-11, Change 2) www.sss.gov
- 3. The Dislocated Worker program will provide priority of service to covered veterans and eligible spouses who are eligible for Dislocated Worker services in accordance with Jobs for Veterans Act (See Section V. VETERANS AND ELIGIBLE SPOUSES PRIORITY) will be given priority over non-veterans when spending limitations are in effect. The veteran's policy is not intended to displace the core function of the Dislocated Worker program.

4. Is eligible under <u>one</u> of the following Dislocated Worker categories, in which the **type** and reason of dislocation is detailed in case notes:

## **CATEGORY 1: General Dislocation**

a. An individual who was terminated, laid off, or received a notice of termination or layoff;

## **AND**

b. Is unlikely to return to previous industry or occupation;

NOTE: Unlikely to return to a previous industry or occupation: a status of an unemployed worker as having limited opportunities for employment or reemployment in the same or similar occupation or industry in the area in which the individual resides as confirmed by local labor market information (including a lack of open job orders), or no job offers received (job search logs), local labor market events, announcements, and/or other related information released by economic, business, or labor statistic units.

#### **AND**

c. Is eligible for or has exhausted entitlement to unemployment compensation;

NOTE: If the applicant voluntarily quits his/her last job, the eligibility determination must align with UI policy regarding "good cause" for voluntary quits. The applicant must document individual circumstances to show good cause. If under adjudication with UI, then the applicant must provide the documentation from UI that states they have been determined to be eligible for benefits.

## OR

d. Has been employed for a duration sufficient to demonstrate, to the appropriate entity at a One-Stop center (referred to in Sec. 121(e)), attachment to the workforce, but is not eligible for unemployment compensation due to insufficient earnings, or having performed services for an employer that were not covered under a state unemployment compensation law.

## **CATEGORY 2: Plant Closure/Substantial Layoff**

 a. An individual who was terminated, laid off, or has received a notice of a layoff from employment at a plant, facility, or enterprise as a result of any recent (2 years or less) permanent closure of, or any substantial lay off (50 or more workers);

OR

b. An individual employed at a facility at which the employer has made a general announcement that the facility will close within 180 days.

NOTE: General announcement of a plant closing under WIOA Section 101(9)(B)(ii) or (iii): an announcement of an upcoming plant or division of closure as demonstrated by one of the following: (a) plant status as confirmed by written notice from employer or layoff or termination, (b) WARN notice, (c) newspaper article, (d) documentation that disaster necessitated business closure or layoff, (e) foreclosure notice, (f) self-attestation in absence of other documents (self-attestation must include reason why other documentation is unavailable).

# **CATEGORY 3: Self-Employed Dislocation**

a. An individual who was self-employed (including employment as a farmer, a rancher, or a fisherman), but is unemployed as a result of general economic conditions in the community in which the individual resides or because of natural disasters.

NOTE: Unemployed as a result of general economic conditions in the community in which the individual resides or because of natural disasters for determining the eligibility of self-employed individuals, including family members and farm or ranch hands, under WIOA Sec. 101(9)(C): a status of an unemployed worker as provided below.

General economic conditions resulting in the dislocation of a self-employed individual in the community in which they reside include, but not limited to:

- Failure of one or more businesses to which the self-employed individual supplied a substantial proportion of products or services;
- Failure of one or more businesses from which the self-employed individual obtained a substantial proportion of products or services;

- Substantial layoff(s) from, or permanent closure(s) of, one or more plants or facilities that support a significant portion of the state or local economy; and/or
- Depressed price(s) or market(s) for articles produced by the self-employed individual.

Where self-employed farmers, ranchers, or business operations are likely to be terminated as evidenced by one or more of the following conditions:

- Foreclosure or notice of intent to foreclose:
- Inability of farm/ranch or business to turn a profit during preceding 12 months:
- Entry of self-employed person into bankruptcy proceedings;
- Inability to make four payments on loans secured by tangible business assets resulting in a loss that directly affects closure;
- Inability to obtain capital necessary to continue operations;
- Debt-to-asset ratio sufficiently high to be indicative of the likely insolvency of the farm/ranch or business; and/or
- Other events indicative of the likely insolvency of the farm, ranch or business.

Natural disasters include: any hurricane, tornado, storm, flood, high water, wind driven water, tidal wave, tsunami, earthquake, volcanic eruption, landslide, mudslide, snow storm, drought, fire, explosion, or other catastrophe.

## **CATEGORY 4: Displaced Homemaker**

a. An individual who was dependent on the income of another family member, but is no longer supported by that income; due to death, divorce, legal separation, permanent job loss, permanent disability, or other personal reasons causing economic hardship;

OR

Is the depended spouse of a member of the armed forces on active duty and whose family income is significantly reduced because of a deployment, a call or order to active duty, or a service-connected death or disability of the member.

b. Is unemployed or underemployed and is experiencing difficulty in obtaining or upgrading employment.

NOTE: The definition of Displaced Homemaker in WIOA Sec. 3(16) includes only those individuals who were dependent on a family member's income. Those individuals who have been dependent on public assistance may be served in the Adult program. Per TEGL 26-13, individuals cannot cite long-term partners to whom they were not married to as family members. Individuals can cite adult children upon whom they were financially dependent on as family members so long as it is appropriately documented.

For Displaced Homemakers, underemployed is defined as employed but having earnings/other household income, according to family size, which is below the SCW's Self Sufficiency Standard found at <a href="https://www.thecalculator.org">www.thecalculator.org</a>. The Self Sufficiency Standard will serve as a "limiter" in determining service eligibility for such employed workers, which helps ensure that services are provided to most in need workers.

# **CATEGORY 5: Dislocated/Separating Military Service Members**

a. A non-retiree military service member who was discharged or released from service under conditions other than dishonorable discharge, or has received a notice of military separation, may be eligible under WIOA Dislocated Worker program based on the "termination" criteria as stated in TEGL 22-04. This may include National Guard or Reserve members who have been discharged from active duty service, but not necessarily from other reserve commitments, such as training. A non-retiree service member is eligible for services up to 30 days prior to discharge or planned separation.

NOTE: Per 20 CFR 680.660, separating military service members automatically qualify as unlikely to return to a previous industry or occupation and is eligible for or exhausted entitlement to Unemployment Insurance.

## **CATEGORY 6: Spouse of Military Service Member**

 a. A military spouse who is unable to continue an employment relationship due to the service member's permanent change of military station,

OR

A military spouse who lost employment as a result of the service member's discharge from the military.

b. A military spouse who is unemployed or underemployed and is experiencing difficulty in obtaining or upgrading employment.

NOTE: TEGL 22-04 clarifies that the term "military spouse" includes individuals who are married to active duty service members (including National Guard or Reserve personnel on active duty and surviving spouses of active duty service members who lost their lives while on active duty service in combat-related areas (e.g. Afghanistan, Iraq, Syria)). A military spouse may also qualify as a displaced homemaker.

Veterans and Eligible Spouses determined eligible for the Dislocated Worker program are given priority of service according to the Jobs for Veteran Act and WorkSource System Policy 1009, Revision 3. See Section IV. Veterans and Eligible Spouses Priority for more information.

# III. <u>ELIGIBILITY PROCESS:</u>

WIOA Dislocated Worker Program Registration is an information collection process that documents a determination of eligibility, which may be done in person, remotely, or virtually, which includes:

- 1. The use of a WIOA Eligibility Application form to generate all information necessary to determine eligibility and meet data validation reporting requirements.
- 2. The applicant signature and date on the eligibility application form attest that the information on the application form is true to the best of the applicant's knowledge.
- 3. The service provider signature and date on the eligibility application form to certify the eligibility information and documentation provided is accurate.
- 4. The maintenance of adequate documentation to ensure the credibility of the eligibility determination, which shall at a minimum consist of:
  - a. A completed WIOA Eligibility Application form for each applicant,

- b. Copies of documents used to determine and verify eligibility and data elements required to be validated per TEGL 23-19, and
- c. An assessment of work history to define primary occupation and employment duration.
- 5. Verification of the information provided on the registration form shall be maintained as part of the applicant file.
- 6. Copies of documents used to determine and verify eligibility (see Attachment 1, Eligibility Policy Handbook for a full list of acceptable documents).

# Claimants' unemployment data:

Claimant data is available through Washington State's Self-Service Account, or SAW account. Claimants are able to print out the benefit form from Unemployment Insurance Self-Service website within the SAW account themselves. If a participant has been through the adjudication process with UI, a copy of the benefits eligibility determination letter will need to be provided.

# Confidentiality of Claimant's data:

Participants (claimants) can be directed to the local WorkSource office where designated staff members will help them with the Self-Service Site to print their unemployment data specifically created for WIOA eligibility determination and claim data release. This is done through Washington State's SAW account.

## Disallowed use of unemployment claim screens:

Unemployment claim screens (UI GUIDE Screens) can no longer be used to determine program eligibility.

The remote or virtual source documentation process is as follows:

- Verification and Documentation during the Application Process:
  - During the application process, supporting eligibility and priority of service documentation are obtained and verified:

- By electronic means (e-mailed or texted attachments, scans, digital photographs sent in encrypted form; electronic signature platforms such as DocuSign or Verisign).
- Through copies of documents sent via postal pickup or mail drop-off at local centers.
- Through a combination of the above in order to safeguard Personally Identifiable Information (PII).

# • Signature Collection and Alternatives

- Required signature, for eligibility documentation (including WIOA eligibility application, EO and Complaint and Grievance Procedures, data collection certification, and self-attestation/applicant statement forms), are obtained via:
  - Electronic document signing platforms (e.g., DocuSign, Verisign)
  - Electronically transmitted digital photos of signed documents
  - Postal mail pick up or drop off of paper forms
  - A combination of the above
  - E-mail (can be used in place of signature)
    - For the WIOA application and EO and Complaint and Grievance Procedures, the applicant must reply in the affirmative that he or she has read and understood the information. The response and date stamp on the e-mailed reply indicates completion of the form.
    - For the data collection certification and self-attestation/applicant statement forms, the applicant must reply in the affirmative that he or she or they certify the information is true and accurate. The response and date stamp on the e-mailed reply indicates completion of the form.

# Security of Customer Data

 The security of customer data and its transmission as well as ease of customer access must be considered. Refer to SCW Policy 112 – Personally Identifiable Information for additional guidance.

# IV. VETERANS AND ELIGIBLE SPOUSES PRIORITY:

On December 19, 2008, the DOL issued new regulations (20 CFR 1010) implementing the Jobs for Veterans Act (JVA 2002) and the Veterans' Benefits, Health care, and Information Technology Act (2006). The regulations went into effect on January 19, 2009 and do three basic things:

- 1. Set forth requirements for each "qualified" program to provide priority of service for veterans and eligible spouses and require all grantees to have policies providing priority of service whether providing priority of service online or in person;
- 2. Require that, for purposes of implementing priority of service, the broad definition of "veteran" meaning a person who served at least one day in the active military, naval, or air service, and who was discharged or released under conditions other than dishonorable be used [38 U.S.C. 101(2)]; and
- 3. Add new requirements related to persons who physically access service delivery points or who access virtual service delivery programs on websites (See 20 CFR 1010.300):
  - a. Applicants to any "qualified" DOL programs and services must be able to learn about priority of service and to indicate whether they are veterans, etc.;
  - b. Program staff must initiate data collection for any person claiming priority at point of entry; and
  - c. Applicants who are veterans must be given the menu of programs and services to which the priority applies, and any additional program eligibility requirements.

Veterans and other covered persons who are determined eligible for WIOA services are entitled to priority of service under all WIOA Title I funded programs (e.g. Adult, Dislocated Worker, Youth, 10% funded projects, and National Emergency Grant (NEG)). The term "Veteran's Priority of Service" means that a covered person shall be given priority over non-veterans except for the priority of service established by law for the WIOA programs.

A "covered person" under the Priority of Service is defined as one of the following:

1. A person who served at least one day in the active military, naval, or air service, and who was discharged or released under conditions other than dishonorable as specified in 38 U.S.S 101 (2). Active service includes full-time Federal service in the national Guard or Reserve component. This definition of "active service" does not include full-time duty performed strictly for training purposes (i.e., that which often is referred to

- as "weekend" or "annual" training), nor does it include full-time active duty performed by National Guard personnel who are mobilized by State rather than Federal authorities (State mobilizations usually occur in response to events such as natural disasters).
- 2. A recently separated veteran is any veteran who applies for participation under this title within 48 months after discharge or release from active military, naval, or air service (WIOA definition); or
- 3. An eligible spouse of:
  - a. Any veteran who died of a service-connected disability;
  - b. Any member of the Armed Forces serving on active duty who, at the time of spouse's application, is listed in one or more of the following categories and has been listed for a total of more than 90 days:
    - i. Missing in action,
    - ii. Captured in the line of duty by a hostile force; or
    - iii. Forcibly detained or interned in the line of duty by a foreign government or power;
  - c. Any veteran who has a total disability resulting from a service connected disability, as evaluated by the Department of Veterans Affairs; or
- 4. Any veteran who died while a disability was in existence. A spouse whose eligibility is derived from a living veteran or service member (i.e., categories b. or c. above) would lose his or her eligibility if the veteran of service member were to lose the status that is the basis for the eligibility (e.g. if a veteran with a total service connected disability were to receive a revised disability rating at a lower level). Similarly, for a spouse whose eligibility is derived from a living veteran or service member, that eligibility would be lost upon divorce from the veteran or service.

Priority of service guidelines can appear inconsistent given the Jobs for Veterans Act and local program requirements. When multiple priorities for a given program or service arise giving the appearance of conflicting priorities, local policy and procedure must respond by defining a hierarchy or priorities that resolves the conflicts. For example, if the United States congress has established eligibility for a program, then the veteran or eligible spouse must meet the requirement for entry into the program. After meeting the eligibility requirement for the program, the veteran must be given priority over all non-veterans who also meet the requirement. Priorities set by federal

statute are at the top of the hierarchy. Federal law sometimes allows targeting at the state or local level, but in these cases, priority of service for veterans takes precedence over state and local level targeting.

V. <u>PRIORITY SELECTION FOR INDIVIDUALIZED CAREER SERVICES AND TRAINING SERVICES FUNDED WITH WIOA STATEWIDE (10%)</u>
DISCRETIONARY GRANTS/CONTRACTS:

The State has determined that funds are not limited for purposes of the WIOA Statewide (10%) discretionary funds. The Governor has determined that these funds shall be prioritized in the following order:

- 1. Eligible veterans and spouses;
- 2. Unemployed individuals;
- 3. Low-income individuals:
- 4. Other Washington job seekers.

Recipients of Discretionary grants/contracts will continue to provide priority selection of veterans for Individualized Career and Training Services in alignment with WorkSource System Policy 1009, Revision 3. In applying this policy to 10% funded projects, veterans who are unemployed and/or low-income, have priority over all other individuals served under these projects.

## VI. ASSISTING VICTIMS OF HUMAN TRAFFICKING:

U.S. citizens of lawful residents who are victims of trafficking can receive the same services that are provided to the general public under WIOA. In addition, under the Traffic Victims Protection Act, certain foreign nationals are also eligible for WIOA Title I services. This includes victims of a severe form of trafficking in persons and individuals granted a nonimmigrant "T' visa (TEGL 11-11, Change 2).

For the purposes of being eligible for WIOA Title I services as a victim of a severe form of trafficking:

1. Individuals 18 years of age or older must have been subjected to an act or practice described in the definition of "severe forms of trafficking in persons" and have received a letter of certification issued by the Department of Health and Human Services (HHS).

2. Children under 18 years of age who have been subjected to a severe form of trafficking need not be certified by HHS to be eligible for services; instead, HHS issues Letters of Eligibility to minor victims of trafficking. As with any participant, they must meet all applicable program eligibility requirements to receive WIOA Title I services.

Individuals who are granted "T" visas from the Department of Homeland Security are also eligible for WIOA Title I services. The "T" nonimmigrant Status (T visa) is available to individuals who are or have been victims of human trafficking, and protects these victims of human trafficking by allowing them to remain in the United States to assist in an investigation or prosecution of human trafficking.

Employment and training services should be provided to victims of trafficking to the same extent and following the same procedures and case management processes as for other one-stop customers. However, services to victims of trafficking may need to be tailored and adapted to match the particular needs of this population. For instance, victims of trafficking may have English Language Learners (ELL), criminal records (including from being forced into prostitution), or limited resumes.

## VII. ADDRESS CONFIDENTIALITY PROGRAM:

The Address Confidentiality Program (ACP) helps individuals attempting to escape from actual or threatened domestic violence, sexual assault, human trafficking, or stalking to interact with state and local government agencies without disclosing their address in order to prevent their assailant or probable assailants from finding them.

The Office of the Secretary of the State confirms that nothing in the ACP Law (RCW 40.24) exempts or excuses an individual from providing phone numbers, emergency contact information, email addresses, or any other information required by clients. The laws governing the ACP apply only to state and local government agencies and only to a person's home, work and/or school addresses. The intent of the ACP is to ensure that victims enrolled in the ACP can access government services like everyone else but without having to place their address in the view of the public.

The WIOA Dislocated Worker program will accept the substitute address of an individual enrolled in the ACP and enter this address into the sate provided database. Never record the actual street

address for work or home of an ACP participant in the state provided database. If someone is participating in the ACP, do not require them to disclose their actual work or home address.

If an individual is enrolled in the ACP, use the ACP address as the home address in the state provided database. Enter the address as it is printed on the authorization complete with the participation unique authorization code, or PMB number:

PMB# ####

**PO BOX 257** 

Olympia, WA 98507

# VIII. <u>CASAS ASSESSMENTS:</u>

The CASAS test is the standard tool to determine Basic Skills Deficient (BSD) used for the WIOA Title 1 Programs. The CASAS Assessment is not required for enrollment into the Dislocated Worker Program, however, will be required if the participant receives Individualized Career Services or Training as per TEGL 23-19.

## Assessments Permitted:

The following CASAS assessments may be used in Washington. The National Reporting System (NRS) determines tests suitable for use and period for which that use is approved.

- 1. CASAS Reading GOALS Approved through February 5, 2025
- 2. CASAS Life and Work Listening (forms 981-986) Approved through June 30, 2025
- 3. CASAS Math GOALS 2 Approved through July, 2030
- 4. CASAS Appraisal (form 990)

These assessments meet the NRS requirements and:

- 1. Are appropriate for measuring literacy and language development of Adult students/job seekers;
- 2. Have standardized administration and scoring procedures;
- 3. Have alternate, equivalent forms for pre- and post-testing; and
- 4. Have evidence linking them to the NRS Educational Functioning Levels.

## Administering the CASAS:

When using the paper test format, administering the CASAS to determine BSD requires two steps:

1. Appraisal test, and

2. Proper Pre-Test as indicated by the appraisal.

When using the e-test format (called Locator), administration of the CASAS to determine BSD is one step. The Locator is a short computer-adaptive test (CAT) that will quickly adapt to a test takers responses and present an appropriate level pretest in one sitting. Note: The Locator is not a stand-alone test and cannot be used for placement.

## Training Requirements for Staff Administering the CASAS:

To ensure accurate use of tests, appropriate interpretation of test results, and to maintain the validity, reliability, and integrity of the assessment process, testing is to only be administered by someone who has received state-recognized online CASAS Implementation Training at www.casas.org.

CASAS test administrators must also complete the online CASAS Beyond Implementation Training at least every two years. Local providers must retain certificates of completion for all staff persons trained in CASAS assessment for review by state monitors as requested. Providers must ensure the integrity of the testing process and security of materials aligns with the security policy outlined at <a href="https://www.casas.org">www.casas.org</a>.

## Appraisal Test:

The appraisal test must be given first (prior to the pre-test) to determine the appropriate level and form of the pre-test to be used. The CASAS appraisal test cannot be used in lieu of the CASAS pre-test to determine BSD.

Note: If using the Locator (e-test), appraisal and pre-test are combined into one computer-adaptive test (see Administering the CASAS above).

#### Pre-Test:

Pre-Tests determine BSD and Educational Functioning Level (EFL). The proper pre-test form is indicated by the appraisal test results. Basic Skills Deficient is denoted by a CASAS score of 238 or below in reading and 235 or below in math.

## Post-Test:

Post-test are administered before the end of a program year to those participants who are receiving instructions below post-secondary education. The EFL is a measure of the Measurable Skills Gain performance indicator (see Administrative Bulletin 221).

Any CASAS test administered within four months of the end of a program year can be used as the new program year's pre-test.

# Minimum Documentation Requirements:

BSD must be documented in the state provided database WIOA Eligibility Application. To document BSD in the state provided database, staff must do the following:

- 1. On the "Barriers" tab of the WIOA Eligibility Application, check the "yes" radio button for Basic Literacy Skills Deficiency.
- 2. On the dropdown list for BSD Verification, choose "Standardized Assessment Test".
- 3. Go to the "Notes" tab of the WIOA Eligibility Application and document the date of the test, score(s), name of person or entity administering the test and any other supporting details (as per WorkSource System Policy 1011, Revision 6).
- 4. Complete the "Testing and Results" Touchpoint in the state provided database and document the date of the test, score(s), name of person or entity administering the test and any other supporting details.

Staff should <u>not</u> upload into the state provided database or retain in hard files any CASAS document(s) that contain test questions or other sensitive testing information. See <u>www.casas.org</u> test security policy.

# Accommodations for Participants with Disabilities or Other Special Needs:

Participants with documented disabilities are responsible for requesting accommodations and for submitting documentation of their disability at the time of registration, program entry, or after diagnosis. Local providers are responsible for providing fully accessible services and reasonable accommodations for participants with documented disabilities.

For participants who do not have disability documentation, or who choose to not disclose their disability, testing aids may be utilized when and where appropriate. These test-taking aids do not affect administration of the test. Test aids include:

- 1. Magnifying glasses/lenses/sheet
- 2. Clear and/or colored overlays
- 3. Straight-edge
- 4. Adhesive notes/flags
- 5. Highlighters
- 6. Visor
- 7. Earplugs

Contractors must ensure that all Adult Basic Education (ABE), Adult Secondary Education (ASE), and English Language Learnings (ELL) participants with disabilities have equal access to test accommodations, have equal opportunities to test, and receive equal treatment in testing situations. Contractors must follow the Americans with Disabilities Act (ADA) processes and procedures set forth at their organization in determining how to best serve participants with documented disabilities. However, participants are not required to reveal their disability and may elect to participate in a program without special accommodations.

The Guidelines for Providing Accommodations Using CASAS Assessment for Learners with Disabilities provides more detailed information on providing accommodation and is available at <a href="https://www.casas.org">www.casas.org</a>.

## IX. STOP GAP EMPLOYMENT:

Local Boards set the criteria for determining whether employment leads to self-sufficiency. Washington State will use the term "Stop-Gap Employment" when referring to employment that will not lead to self-sufficiency.

# X. <u>SELF-ATTESTATION:</u>

Self-attestation means a written, or electronic/digital declaration of information for a particular data element, signed and dated by the participant. DOL broadly interprets what is considered an electronic/digital signature. Electronic signatures or a submission from the participant such as an

email, text, or unique online survey response is considered an electronic signature or verification; it must be participant generated and traceable to the participant. Grantees must retain documentation of the self-attestation. In an effort to reduce the amount of administrative burden resulting from the capture and maintenance of paper documentation, the Board allows the use of self-attestation, as defined in this document, wherever permissible under federal guidelines.

To ensure properly documented customer self-attestation, Contractors are required to use Attachment 3 Self-Attestation Form. Improperly documented self-attestation or self-attestation on eligibility elements not permitted under federal law or this policy will result in potential disallowed costs. Properly documented self-attestation will serve as documentary evidence of eligibility determination and will not, in and of itself, warrant disallowed cost findings through annual program monitoring.

Recognizing that in certain cases applicants who are long-term unemployed (LTUs) may not have the ability to provide documentation of their "Job of Dislocation" (i.e. Layoff letter, etc.) when their job of dislocation occurred a year or more ago.

Contractors have also experienced an inability to verify dislocation information by phone for LTU job dislocations that occurred a year or more ago. In many instances, layoff companies may no longer be in business (plant closure) or layoff companies are not retaining employee information that is one year or older.

As a result, the SCW **will** allow self-attestation of LTU dislocation information when LTU job of dislocation cannot first be documented by layoff letter or verified by phone with employer. To ensure properly documented customer self-attestation, contractors are required to use Attachment 3. Self-Attestation Form.

The collection and maintenance of adequate documentation is necessary to ensure the credibility of Dislocated Worker Eligibility determinations and to minimize the risk of disallowed costs. Therefore, the SCW will not allow applicant self-attestation for:

- Military Service
- Selective Service
- Eligible Non-Citizen

Improperly documented self-attestation or self-attestation on eligibility elements not permitted under federal law or this policy will result in potential disallowed costs.

Attachment 1: State Eligibility Policy Handbook

Attachment 1A: Eligibility Documentation Matrix

Attachment 2A: Self Employment Training Notice

Attachment 2B: Self Employment Training Notice Spanish

Attachment 3: Adult & DW Self-Attestation Form

Attachment 4: Priority of Service for Veterans & Eligible Spouses

Attachment 5A: Authorization for Release of Information Form

Attachment 5B: Authorization for Release of Information Form Spanish

Attachment 6A: EEO Form

Attachment 6B: EEO Form Spanish

Attachment 7A: Complaints and Grievances Letter

Attachment 7B: Complains and Grievances Letter Spanish

## REFERENCES:

All contractors will be monitored for compliance with the MIS procedures for timeliness, accuracy and completeness of all forms and documents.

- 20 CFR § 680.110 .130
- 20 CFR § 680.630
- 20 CFR § 680.650 .660
- TEGL 11-11, Change 2 Selective Service Registration Requirements for Employment and Training Administration Funded Programs
- TEGL 19-16 Guidance on Services Provided to Adult and Dislocated Worker Programs
- TEGL 23-19, Change 2 Data Validation Required Performance Data Submitted by Grant Recipients of US Dept. of Labor Workforce Programs
- WA State Board of Community and Technical Colleges' "Washington State Basic Education for Adults Assessment Policy 7/1/21-6/30/22
- WIN 0041, Revision 1 Address Confidentiality Program
- WIN 0144 Assisting Victims and Survivors of Human Trafficking

- Veterans Policy 6010 Priority of Service for Veterans and Eligible Spouses
- WorkSource System Policy 1011, Revision 6 CASAS for Basic Skills Assessment
- WorkSource System Policy 1019, Revision 10 Eligibility Policy Handbook